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•Practice Limited to
Federal Agencies

December 2, 2003

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**Mail Stop: Office of Initial Patent Examination
Corrected Official Filing Receipt**

Re: U.S. Utility Patent Application
Appl. No. 10/615,796; Filed: July 10, 2003
For: **Methods and Apparatus Relating to the Formulation and Trading of
Investment Contracts**
Inventor: Ian Kenneth Shepherd
Our Ref: 1033.0180002

Sir:

Transmitted herewith for appropriate action are the following documents:

1. SKGF Cover letter;
2. Request for Corrected Official Filing Receipt;
3. Copy of the Corrected Official Filing Receipt with correction noted in red; and
4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
December 2, 2003
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,
or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Jeffrey S. Weaver
Attorney for Applicants
Registration No. 45,608

JSW/asl
Enclosures

14798.SKGF_DCI:196460.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ian Kenneth Shepherd

Appl. No. 10/615,796

Filed: July 10, 2003

For: **Methods and Apparatus Relating
to the Formulation and Trading of
Investment Contracts**

Confirmation No. 1916

Art Unit: 3624

Examiner: To be assigned

Atty. Docket: 1033.0180002

Request for Corrected Official Filing Receipt

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

*Mail Stop: Office of Initial Patent Examination's
Filing Receipt Corrections*

Sir:

Applicant hereby requests that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following correction to the Official Filing Receipt is requested:

In the Foreign Applications Section, line 2, please delete "02/05/1996" and insert --05/02/1996--

In support of the above request, a photocopy of the Official Filing Receipt is enclosed with the correction noted in red. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey S. Weaver
Attorney for Applicant
Registration No. 45,608

Date: 12 - 2 - 03

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SKGF_DC1:196481.1



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/615,796	07/10/2003	3624	750	1033.0180002	6	1	1

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CONFIRMATION NO. 1916

FILING RECEIPT



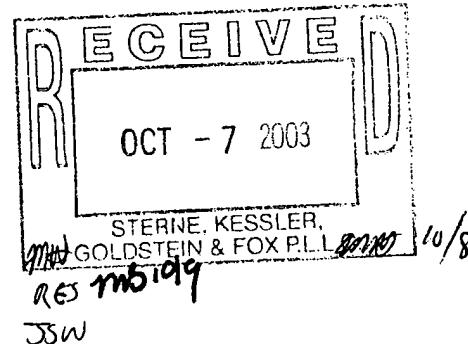
OC000000010990326

Date Mailed: 10/06/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ian Kenneth Shepherd, Toorak, AUSTRALIA;



Domestic Priority data as claimed by applicant

This application is a CON of 09/667,423 09/21/2000 PAT 6,622,130
 which is a CON of 09/000,264 05/15/1998 PAT 6,157,918
 which is a 371 of PCT/AU96/00420 07/05/1996

Foreign Applications

AUSTRALIA PN 4060 07/07/1995

AUSTRALIA PN 9636 02/05/1996

05/02/1996

If Required, Foreign Filing License Granted: 10/03/2003

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

Methods and apparatus relating to the formulation and trading of investment contracts

Preliminary Class

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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